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NOTICE OF ALLOWANCE AND FEE(S) DUE

26356

7590

04/06/2006

ALCON RESEARCH, LTD. R&D COUNSEL, Q-148 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099



FAY, ZOHREH A

ART UNIT PAPER NUMBER

1618

DATE MAILED: 04/06/2006

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/808,061	03/24/2004	Peter G. Klimko	2149A	4186	

TITLE OF INVENTION: USE OF PROTEASOME INHIBITORS TO TREAT DRY EYE DISORDERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional			\$300	\$1700	07/06/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885



INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

ndicated unless corrected by the maintenance fee notification	respondence including the re- below or directed otherwise as.	n Block 1, by (a)	specifying a						
	E ADDRESS (Note: Use Block 1 for a	ny change of address)		Note: A certificate of Fee(s) Transmittal. The papers. Each addition have its own certificate.	Note: A certificate of mailing can only be used for domestic mailings of the Fec(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
26356 75	90 04/06/2006								
ALCON RESEAU R&D COUNSEL, 0 6201 SOUTH FRE	Q-148			Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.					
FORT WORTH, T	X 76134-2099					(Depositor's name)			
						(Signature)			
						(Date)			
APPLICATION NO.	FILING DATE	ĭ	FIRST NAME) INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
10/808,061	03/24/2004		Peter G.	Klimko	2149A	4186			
TITLE OF INVENTION: U	SE OF PROTEASOME INH	IBITORS TO TRE	EAT DRY E	/E DISORDERS					
APPLN. TYPE	SMALL ENTITY	ISSUE FI	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE			
nonprovisional	NO	\$1400		\$300	\$1700	07/06/2006			
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CFR 1.363). Change of correspond Address form PTO/SB/1 "Fee Address" indica PTO/SB/47; Rev 03-02 Number is required.	dence address or indication of "Feddence address (or Change of 022) attached. tion (or "Fee Address" Indicator more recent) attached. Use	Correspondence tion form of a Customer	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.						
PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGN		low, no assignce of this form is NO	data will app F a substitute	pear on the patent. If an assign for filing an assignment. ENCE: (CITY and STATE OR	gnee is identified below, the o	document has been filed for			
Please check the appropriate	e assignee category or catego	ries (will not be pr	inted on the p	patent): 🔲 Individual 🔲	Corporation or other private gr	roup entity Government			
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	s (from status indicated above SMALL ENTITY status. See		☐ b Applie	cant is no longer claiming SM	ALL ENTITY status. Sec 37 (CFR 1.27(g)(2).			
The Director of the USPTO NOTE: The Issue Fee and I interest as shown by the rec	o is requested to apply the Issu Publication Fee (if required) veords of the United States Pate	ne Fee and Publica will not be accepted ent and Trademark			sly paid issue fee to the applic gistered attorney or agent; or				
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Alexandria, virginia 22313	1-143U.				y the public which is to file (at 2 minutes to complete, includ- comments on the amount of to d Trademark Office, U.S. De SS. SEND TO: Commissione it displays a valid OMB control				



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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO		
10/808,061		03/24/2004	Peter G. Klimko	2149A	4186		
26356	7590	04/06/2006		EXAMINER			
ALCON RESI		LTD.	FAY, ZOHREH A				
R&D COUNSE				ART UNIT PAPER NUMBER			
6201 SOUTH F FORT WORTH				1618 DATE MAILED: 04/06/200	6		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 108 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 108 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/808,061	KLIMKO ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Zohreh A. Fay	1618	
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS therewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT Right of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to 3 and MPEP 1308.	olication. If not include will be mailed in due	ed course. THIS
 This communication is responsive to <u>the amendments and</u> 	I remarks filed on January 9, 2006.		
2. X The allowed claim(s) is/are <u>1-4</u> .			
a) Acknowledgment is made of a claim for foreign priority uner a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submin INFORMAL PATENT APPLICATION (PTO-152) which give 5. CORRECTED DRAWINGS (as "replacement sheets") mueral including changes required by the Notice of Draftsperion (a) including changes required by the Notice of Draftsperion (b) including changes required by the attached Examiner Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in attached Examiner's comment regarding REQUIREMENT	e been received. e been received in Application No cuments have been received in this of this communication to file a reply MENT of this application. nitted. Note the attached EXAMINER res reason(s) why the oath or declara st be submitted. son's Patent Drawing Review (PTO- C's Amendment / Comment or in the Comment or in the Comment of the drawithe header according to 37 CFR 1.121(posit of BIOLOGICAL MATERIAL in	national stage application of the foot of the foot of the foot of the foot (not the foot).	quirements NOTICE OF
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	Paper No./Mail Da	r (PTO-413), ate : ment/Comment	

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Patrick Ryan on March 30, 2006.

The application has been amended as follows:

In claim 1, line 2 the phrase "in need thereof" has been added after "mammal".

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Zohreh A. Fay whose telephone number is (571) 272-0573. The examiner can normally be reached on Monday to Friday 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hartley can be reached on (571) 272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Page 3

Application/Control Number: 10/808,061

Art Unit: 1618

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Form PTO-1449 U.S. Dept. of Commerce		ATTY. DOCKET NO.		SERIAL NO. Confirmation No.							
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2.F		AA	3,991,759	11/16/76	Urquhart	128	260				
1		AB	4,131,651	12/26/78	Shah et al.	424	78				
		AC	4,370,325	01/25/83	Packman	424	245				
		AD	4,409,205	10/11/83	Shively	424	78				
		AE	4,744,980	05/17/88	Holly	424	78				
		AF	4,804,539	02/14/89	Guo et al.	424	450				
		AG	4,818,537	04/04/89	Guo	424	427				
		АН	4,883,658	11/28/89	Holly	424	80				
		Al	4,914,088	04/03/90	Glonek et al.	514	76				
		AJ	4,966,773	10/30/90	Gressel et al.	424	489				
2	·F	AK	5,041,434	08/20/91	Lubkin	514	182				
	-			FOREIGN	PATENT DOCUMENTS						
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			IAOIAIDEK			-		YES	NO		
2	2 /	AL	JP10036289	02/10/98	Japan (abstract only)						
	1	AM	WO 95/31211	11/23/95	PCT						
		AN	WO 96/32105	10/17/96	PCT						
		AO	WO 98/35691	08/20/98	PCT						
2	2/-	AP	WO 99/15183	04/01/99	PCT						
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7	F	AR			tors as Therapeutic Age	ents" Expe	ert Opinion in	Therape	utic		
Patients, Vol. 13(1), pp. 45-57 (2003) AS Brignole et al., "Flow cytometric analysis of inflam						rkers in con	jinctival epithelia	l cells of g	atients		
6	2		with dry eyes," Datal XP002215304 abstra	base Medline act & <i>Investi</i>	e 'Online! May 2000 (2000-0 vative Ophthalmology & Vis)5); Databas	e accession no.	NLM1079	8650		
	2.6	AT	41(6) pages 1356-13 Corey et al., "Total	1363 al Synthesis and Biological Activity of Lactacystin, Omuralide and Analogs,							
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2.6	BA	5,075,104	12/24/91	Gressel et al.	424	78.04		
ĺ	88	5,174,988	12/29/92	Mautone et al.	424	45		
	BC	5,278,151	01/11/94	Korb et al.	514	76		
	80	5,290,572	03/01/94	MacKeen	424	602		
	8E	5,294,607	03/15/94	Glonek et al.	514	76		
	BF	5,371,108	12/06/94	Korb etal.	514	762		
	8G	5,403,841	04/04/95	Lang et al.	514	226.8		
	вн	5,578,586	11/26/96	Glonek et al.	514	76		
	ВІ	5,620,921	04/15/97	Sullivan	514	178		
	BJ	5,677,335	10/14/97	Robertson et al.	514	521		
ZF	BK	5,696,166	12/09/97	Yanni et al.	514	573		
			FOREIGN	PATENT DOCUMENTS				
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		IAOIAIDEK					YES	NO
26	BL	WO 99/22729	05/14/99	PCT				-
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	ВО	WO 00/61168	10/19/00	PCT				
25	BP	WO 00/64863	11/02/00	PCT				
	1	OTHER PRIOR A	RT (Includir	ng Author, Title, Date, Pe	rtinent Pag	es, Etc.)		
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2. F	BS	Dou, et al., "Proteat Vol. 2, pp. 215-223	some inhibi (1999)	tors as potential novel an				
2.4	ВТ	Elliott et al., "Prote	asome inhi	bition: A novel mechanis (2);pp. 294-300 August 1	im to comb 1999	at asthma," J. (Of Allergy	y and

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2.5	CA	5,800,807	09/01/98	Hu et al.	424	78.04	AFFIC	FRIATE
2.5	СВ	5,958,912	09/28/99	Sullivan	514	177		
2.F	CC	6,071,904	06/06/00	Ali et al.	514	222.8		
2-6	CD	6,083,903	07/04/00	Adams et al.	514	2		
2.6	CE	6,096,733	08/01/00	Lubkin	514	182		
2-F	CF	6,107,289	08/22/00	Sullivan	514	178		
24	CG	6,153,607	11/28/00	Pflugfelder et al.	514	178		
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2.F	CR	Groettrup et al., "Se 4(2), pp. 63-71 (19		teasome inhibitors: modu	lators of an	tigen presentati	on?," <i>DD</i>	T, Vol.
2-5	CS	Iqbal, et al., "Proteat by M. Kahn, pp. 19	sone inhibi	tors," <u>High Throughput Sc</u>	reening for	Novel Anti-Infla	mmatori	<u>≥s,</u> edited
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2-6	DS	Lemp et al., "Eval	uation and or 2000 (200	differential diagnosis of k 00-12); Database access eumatology; Supplement	ion no. NLN	111128698 XPC	0221530	3
2-F	DT	Lemp, "Report of CLAO Journal, Vo	the Nationa	I Eye Institute/Industry W	orkshop on	Clinical Trials	in Dry Ey	es,"

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2.F	ES	Siggren Syndrome	t al., "Topical Nonpreserved Methylprednisolone Therapy for Keratoconjunctivitis Sicca in Syndrome," Ophthalmology, Vol. 106(4), pp. 811-816 (1999)					
7-F	ET	Myung, et al., "The Reviews, Vol. 21(4	rung, et al., "The Ubiquitin-Proteasome Pathway and Proteasome Inhibitors," Medicinal Research oviews, Vol. 21(4), pp. 245-273 (2001)					

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2.6	FR	Abnormality," Arch	. Ophthalm	ritis Sicca Associated wit ol. Vol. 116, pp. 849-852	2 (1998)				
2.f	FS	Lactone." J. Am. C	Soucy et al., "A Novel and Efficient Synthesis of a Highly Active Analogue of <i>clasto</i> -Lactacystin β- actone." <i>J. Am. Chem. Soc.</i> Vol. 121, pp. 9967-9976 (1999)						
21 F	FT	Tauber et al., "Lac	Tauber et al., "Lacrimal Gland, Tear Film and Dry Eye Syndromes," J. Adv. Exp. Med. Biology,						

EXAMINER Zahn	- Fay DA	TE CONSIDERED	130/66
L			

Form PTO-1449 U.S. Dept. of Commerce		ATTY. DOCKET NO.		SERIAL NO. Confirmation No.				
Patent	& Traden	nark Office	2149A		10/808,061 OIPE			
LIST OF E		ITS CITED BY	APPLICAN	NT		7	, 200 (3)	\
APPLICANT		Klimko et	al.		APR 2	2 2004		
(Use seve	eral sheets	if necessary)	FILING DA	ATE	GROUP	W.	and S	
			March 24,	2004		RAD	EMA	
	-		U.S. PA	TENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	1	DATE F PRIATE
	GA							
	GB							
	GC							
	GD							
	GE							
	GF							
	GG							
	GH							
	Gl							
	Gl							
	GK							
			FOREIGN	PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	NO NO
			ļ	<u> </u>			123	
	GL		ļ					
	GM				_			
	GN							
	GO							
	GP	<u> </u>						
				ng Author, Title, Date, Pe				
26	GR	Wang et al., "Supp RPE cells by a pro pp. 477-486 (1999	oteasome in	NK-kappaβ-dependent p hibitor," <i>Investigative Op</i>	roinflammat ohthalmoogy	ory gene expre	ssion in f ice, Vol.	numan 40(2),
	GS							
	GT							

EXAMINER	Zohnt Fing	DATE CONSIDERED	3/30/06

	Application No.	Applicant(s)
And a significant Commence of	10/808,061	KLIMKO ET AL.
Interview Summary	Examiner	Art Unit
	Zohreh A. Fay	1618
All participants (applicant, applicant's representative, PT	O personnel):	
(1) Zohreh Fay.	(3)	
(2) Patrick Ryan.	(4)	
Date of Interview: 30 March 2006.		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2)∐ applicant's repre	sentative]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: <u>1</u> .		
Identification of prior art discussed: None.		
Agreement with respect to the claims f)⊠ was reached.	g)⊡ was not reached	h) □ N/A .
Substance of Interview including description of the general reached, or any other comments: <u>Applicant authorized to the second </u>	eral nature of what was a the amendments set fort	greed to if an agreement was h in the Examiner's amendment.
(A fuller description, if necessary, and a copy of the ame allowable, if available, must be attached. Also, where n allowable is available, a summary thereof must be attac	to copy of the amendme	miner agreed would render the claims nts that would render the claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFIC INTERVIEW. (See MPEP Section 713.04). If a reply to GIVEN A NON-EXTENDABLE PERIOD OF THE LONG INTERVIEW DATE, OR THE MAILING DATE OF THIS FILE A STATEMENT OF THE SUBSTANCE OF THE IN requirements on reverse side or on attached sheet.	the last Office action ha ER OF ONE MONTH OI INTERVIEW SUMMARY	s already been filed, APPLICANT IS R THIRTY DAYS FROM THIS FORM, WHICHEVER IS LATER, TO
Examiner Note: You must sign this form unless it is an	Z	rahn fry
Attachment to a signed Office action.		ner's signature, if required

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)
In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

PATENT MAINTENANCE DIMSION

2006 APR -5 PM 2: 55

US PATENT & TRADEMARK April 3, 2006 OFFICE OBLON
SPIVAK
MCCLELLAND
MAIER
&
NEUSTADT
P.C.

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE

2051 Jamieson Avenue Suite 300 Alexandria, VA

Attn: Frank Lebron Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of December, 2005. See the highlighted charge on serial number 10/900,095 in the amount of \$400.00 on fee code #1201.

On December 7, 2005, an amendment containing two additional independent claims was filed in this application. A credit card payment form for American Express in the amount of \$600.00 was included in that filing to pay for 3 extra independent claims (note the amendment only contained 2 extra independent claims). Therefore, there should not be any additional charges on our deposit account for this application AND we are also due a refund of \$200.00 for overpayment of claim fees.

Please review this application and kindly refund \$600.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department.

Enclosures





Deposit Account Statement

Requested Statement Month:

December 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET **ALEXANDRIA**

City:

VA

State: Zip:

22314

Country:

UNITED STATES OF AMERICA

DATE SEC	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/01 4	10793982	M220-1010:1 256943	1202	\$150.00	\$37,679.71
12/01 10	10539057	272911US0PCT	1615	\$100.00	\$37,579.71
12/01 12	10673375	243476US6 YA	1201	-\$200.00	\$37,779.71
12/01 13	10673375	243476US6 YA	1202	-\$250.00	\$38,029.71
12/01 14	10948288	259545US0	1806	-\$180.00	\$38,209.71
12/01 15	09969765	214725	2202	-\$25.00	\$38,234.71
12/01 16	10062458	219181US0	1806	-\$180.00	\$38,414.71
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12/01 40	10440098	237218US-2	1806	-\$180.00	\$38,774.71
12/02 1	10645804	241833	1806	\$180.00	\$38,594.71
12/02 1	60606789	PF55833	8007	\$20.00	\$38,574.71
12/02 2	60606789	PF55833	8023	\$120.00	\$38,454.71
12/02 7	10222774	227296US0CON	1202	-\$18.00	\$38,472.71
12/02 10	10292505	230451US2	1806	-\$180.00	\$38,652.71
12/02 11	10838243	252627US41	1252	-\$330.00	\$38,982.71
12/02 54	60629896	262126US/KQU	8007	\$20.00	\$38,962.71
12/02 89	11045115	262459US/KQU	8007	\$20.00	\$38,942.71
12/02 204	11066437	255947US/KQU	8007	\$20.00	\$38,922.71
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12/05 9	10188091	224499US0XCO	1806	\$180.00	\$37,282.71
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12/12		10666253	1242936U62 242936		\$180.00	\$36,382.71
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12/12		1403557	261165US/KQU	8007	\$80.00	\$36,202.71
						•
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12/14	208	09682285		8024	\$40.00	\$35,277.71
12/15	149	11111887	271354US/KQU	8007	\$20.00	\$35,257.71
12/15	194	10024162	450100-0368 2 <i>J7576</i> 4	1401	\$500.00	\$34,757.71
12/16	4	10528096	267577US2PCT	1615	\$50.00	\$34,707.71
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12/16	21	1406070		8024	\$40.00	\$33,327.71
12/16	150	10917222		8011	\$55.00	\$33,272.71
12/16	156	09682284		8011	\$55.00	\$33,217.71

256535US2 Docket No.

IN RE APPLICATION OF: Yuuichi ISHII

FILED:

SERIAL NO: 10/900,095 July 28, 2004

FOR:

PRINTING PROCESSING DEVICE AND METHOD THEREOF

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: Letter Submitting Replacement Drawing Sheets, Replacement Drawing Sheets (13)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	35	MINUS	37	0	х	\$50	=	\$0.00
INDEPENDENT	10	MINUS	7	3	х	\$200	=	\$600.00
-		☐ MULTIPI	E DEPENDENT	CLAIMS	+	\$360	. =	\$0.00
			TOTAL	OF ABOVE C	ALCI	JLATIC	ONS	\$600.00
		☐ Reduction	by 50% for filing	by Small Entity	y			\$0.00
		☐ Recordation					\$0.00	
						TO	ΓAL	\$600.00

- ☐ A check in the amount of **\$0.00** is attached.
- Credit card payment form is attached to cover the fees in the amount of \$600.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

GJM/SNS/JW/agm 1:\ATTY\JW\256535US\256535US_AME COV.DOC Gregory J. Maier Attorney of Record Registration No. 25,599

Surinder Sachar Registration No. 34,423

United States Patent & Trademark Office Credit Card Payment Form

Credit Card	Information
Credit Card Type:	
Credit Card Account #:	
Credit Card Expiration Date: December 31, 2005	
Name as it Appears on Credit Card: Mahaffey, Amy G.	
Payment Amount: \$(US Dollars): 600.00	
Signature: COP	Date: December 07, 2005
Credit Card Bi	illing Address
Street Address 1: Obion, Spivak, McClelland, Maier & Ne	eustadt, P.C.
Street Address 2: 1940 Duke Street	
City: Alexandria	
State: Virginia	Zip/Postal Code: 22314
Country: U.S.A.	
Daytime Phone #: (703) 413-3000 Accounting Dept.	Fax #: (703) 413-2220
Request and Payı	ment Information
Description of Request and Payment Information: GFCL3	
Application No.: 10/900,095	
Patent/Registration No.:	
Docket No.: 256535US	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Form #: 50000

United States Patent and Trademark Office
- Sales Receipt -

12/14/2005 DSASFAI 00000001 150030 10900095 Sale Ref: 00000001 DA#: 150030 10900095 01 FC:1201 400.00 DA

Adjustment Date: 04/17/2006 SDIRETA1 12/14/2005 DSASFAI 00000001 150030 10900095 01 FC:1201 400.00 CR

PATENT MAINTENANCE DIVISION

2006 APR -5 PM 2: 55 pril 4, 2006

US PATENT & TRADEMARK

UNITED STATES PATENT AND TRADEMARK OFFICE 2051 Jamieson Avenue Suite 300 Alexandria, VA

Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of January, 2006. Please review the highlighted charge on Serial Number 11/230,482 in the amount of \$180.00 on fee code #1806.

An Information Disclosure Statement (IDS) was filed with the original application papers on September 21, 2005. No fee is required to file this IDS. The fee charged to our deposit account was in error and should be refunded.

Please investigate this charge and kindly refund \$180.00 to Deposit Account #150030. Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

OBLON

SPIVAK

McClelland

MAIER

8. **NEUSTADT** P.C.

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER CONTROLLER

(703) 412-6494 KMORSBERGER@OBLON.COM

Debra J. Noel

Accounting Department

Enclosures





Deposit Account Statement

Requested Statement Month:

January, 2006

Deposit Account Number:

150030

Name:

NORMAN: F. OBLON

Attention:

1940 DUKE STREET

Address: City:

ALEXANDRIA

State:

VA

Zip:

22314

Country:

UNITED STATES OF AMERICA

DATE SEC	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/03 1	10513338	261635US23PCT	1631	\$300.00	\$31,112.
01/03 1	10297577	230862USOPCT	1252	\$420.00	\$30,692.
01/03 2	10513338	261635US23PCT	1633	\$200.00	\$30,492.
01/03 3	10513338	261635U\$23PCT	1642	\$400.00	\$30,092.
01/03 20	11258170	279907US2SRD DIV	1201	-\$200.00	\$30,292.
01/03 22	10673262	243436US2SX	1806	-\$180.00	\$30,472.7
01/03 24	10831618	252365US0X CONT	1806	-\$180.00	\$30,652.7
01/03 25	10444013	236939US0	1806	-\$180.00	\$30,832.
01/03 29	10006666	216259US0	1252	-\$450.00	\$31,282.
01/03 30	11144020	239439US2RD RE	1202	-\$50.00	\$31,332.
01/03 31	11144020	239439US2RD RE	1202	-\$50.00	\$31,382.
01/03 32	10446780	238387US2	1806	-\$180.00	\$31,562.
01/03 33	10450154	237784US6YAPCT	1201	-\$200.00	\$31,762.
01/03 34	10450154	237784US6YAPCT	1202	-\$250.00	\$32,012.
01/03 250	11319679	284228US2	1081	\$460.00	\$31,552.
01/04 2	11081566	267470US0DIV	2201	\$100.00	\$31,452.
01/04 2	10670320	242938US	1806	\$180.00	\$31,272.
01/04 4	11230482	278733US0SRD	1806	\$180.00	\$31,092.
01/04 6	11224952	278488US0 X	1081	\$250.00	\$30,842.
01/05 1	10296198	230878US3PCT	1202	\$100.00	\$30,742.
01/05 2	09706781	199326US2	1811	-\$100.00	\$30,842.
01/05 2	10532110	271083US96PCT	1617	\$130.00	\$30,712.
01/05 2	10296198	230878US3PCT	1203	\$360.00	\$30,352.
01/05 2	10344420	232879US40X PCT	1201	\$200.00	\$30,152.
01/05 4	09539328	5241-P3	1806	-\$180.00	\$30,332.
01/05 5	11288111	281922US2	1202	-\$50.00	\$30,382.
01/05 7	11012257	263126US2SRD CONT	1464	\$130.00	\$30,252.7

Docket No.

278733US0SRD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Norio TAKAMI, et al.

SERIAL NO: New Application

GAU:

FILED:

Herewith

EXAMINER:

FOR:

NONAQUEOUS ELECTROLYTE BATTERY, BATTERY PACK AND VEHICLE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C. Norman F. Oblon

C. Irvin McClelland Registration Number 21,124

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

United States Patent and Trademark Office - Sales Receipt -

01/04/2006 BHARRIS1 00000004 150030 11230482

Sale Ref: 00000004 DA#: 150030 11230482

01 FC:1806 180.00 DA

Adjustment Date: 04/17/2006 SDIRETA1 01704/2006 BHARRIS1 00000004 150030 11230482 01 FC:1806 180.00 CR

April 4, 2006

ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE 2051 Jamieson Avenue Suite 300 Alexandria, VA

Attn: Frank Lebron Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our Deposit Account Statement of February, 2006. Please review the highlighted charge on Serial Number 10/528,430 in the amount of \$130.00 on fee code #1618.

Since an English translation is not necessary in this case, please credit our deposit account #150030 in the amount of \$130.00.

Please review this application and kindly refund \$130.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department

Enclosure

April 4, 2006

OBLON SPIVAK **McClelland** MATER NEUSTADT P.C.

ATTORNEYS AT LAW KATHLEEN A. MORSBERGER CONTROLLER (703) 412-6494 KMORSBERGER@OBLON.COM

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Sincerely,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department

Enclosure



Deposit Account Statement

Requested Statement Month:

Deposit Account Number: 150030

Name:

NORMAN F. OBLON

February 2006

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

Country:

UNITED STATES OF AMERICA

	DATE	E SEC	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
	02/01	1	10529478	26725USOPET 26725	X2202	\$175.00	\$44,437.71
	02/01	3	10528430	267546US3PCT	1617	-\$130.00	\$44,567.71
	02/01	4	10528430	267546US3PCT	1618	\$130.00	\$44,437.71
	02/01	7	10538062	273506US2PCT	1615	\$150.00	\$44,287.71
	02/01	7	10498982	254963US0PCT	1613	-\$920.00	\$45,207.71
	02/01	10	10498982	254963US0PCT	1613	\$920.00	\$44,287.71
	02/01	31	6379708		8008	\$200.00	\$44,087.71
	02/01	32	6379708		8024	\$40.00	\$44,047.71
	02/01	33	09709045		8011	\$55.00	\$43,992.71
	02/01	34	09709045		8024	\$40.00	\$43,952.71
	02/01	35	09699003		8011	\$55.00	\$43,897.71
	02/01	36	09699003	•	8024	\$40.00	\$43,857.71
	02/01	37	09316226		8008	\$200.00	\$43,657.71
	02/01	38	09316226		8024	\$40.00	\$43,617.71
	02/01	39	09083307		8008	\$200.00	\$43,417.71
	02/01	40	09083307		8024	\$40.00	\$43,377.71
	02/01	258	11342879	284907US0CONT	1011	\$300.00	\$43,077.71
	02/01	259	11342879	284907US0CONT	1111	\$500.00	\$42,577.71
	02/01	260	11342879	284907US0CONT	1311	\$200.00	\$42,377.71
	02/02	1	09869135	21022905	1253	\$1,020.00	\$41,357.71
1	02/02	1	10168392	224541USOPCT	1202	\$50.00	\$41,307.71
(02/02	10	10653747	241268US2RE	1806	-\$180.00	\$41,487.71
1	02/02	34	11230627	278549US0SRD CONT	1081	\$250.00	\$41,237.71
(02/02	105	10942878	259089US2	1201	\$400.00	\$40,837.71
(02/02	233	6960620	229282US/KQU	8013	\$25.00	\$40,812.71
(02/02	234	60667054	269080US/KQU	8007	\$20.00	\$40,792.71
(2/03	2	10092560	217395CN,IL,KR,MX,NO,ZA	1814	\$130.00	\$40,662.71

PATENT MAINTENANCE DIMSION

2006 APR -5 PM 2: 55

US PATENT & TRADEMARKApril 4, 2006 OFFICE OBLON
SPIVAK
MCCLELIAND
MAIER
&
NEUSTADT
P.C.

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER CONTROLLER (703) 412-6494 KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE 2051 Jamieson Avenue, Ste. 300 Alexandria, VA

Attn: Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of February, 2006. See the highlighted charge on serial number 09/869,135 in the amount of \$1,020.00 on fee code #1253.

On February 1, 2006, a notice of appeal and three-month extension of time were filed in this application with a credit card payment form for American Express in the amount of \$1,520.00. The fee for the three-month extension of time in the amount of \$1,020.00 was part of that payment. Therefore, this charge should not appear on our deposit account.

Please review this application and kindly refund \$1,020.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department.

Enclosures

United States Patent and Trademark Office - Sales Receipt -

02/02/2006 RHARMON 00000001 150030 09869135

01 FC:1253

1020.00 DA

Adjustment date: 04/17/2006 SDIRETA1 02/02/2006 RHARMON 00000001 150030 09869135 01 FC:1253 1020.00 CR





Deposit Account Statement

Requested Statement Month:

February 2006

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

Country:

UNITED STATES OF AMERICA

DATE SE	EQ POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01 1	10529478	26725USOPCT 267	582202	\$175.00	\$44,437.71
02/01 3	10528430	267546US3PCT	1617	-\$130.00	\$44,567.71
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02/01 32	6379708		8024	\$40.00	\$44,047.71
02/01 33	09709045		8011	\$55.00	\$43,992.71
02/01 34	09709045		8024 '	\$40.00	\$43,952.71
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. 02/02 1	09869135	210 229 05	1253	\$1,020.00	\$41,357.71
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02/02 10	10653747	241268US2RE	1806	-\$180.00	\$41,487.71
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02/02 234	60667054	269080US/KQU	8007	\$20.00	\$40,792.71
02/03 2	10092560	217395CN,IL,KR,MX,NO,	ZA 1814 .	\$130.00	\$40,662.71

rile No. 210229US0PCT

erial No 09/869.135

In the matter of the Application of: Yoshito ABE, et al.

For: IMIDAZOLE COMPOUNDS AND MEDICINAL USE THEREOF

Due Date: February 1, 2006

The following has been received in the U.S. Patent Office on the date stamped hereon:

Credit Card Form for \$1,520.00

Dep. Acct. Order Form

■ Notice of Appeal

■ Request for Extension of Time (3 Months)



PATENT ANNATURANCE DMSICH

U.S. Patent Application No.10\167,187 Attorney Docket No. IPCP:108-US

7016 APR -5 PH 2: 5

US PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BAXTER, Samuel. C. et al

U.S. Patent Application No.: 10/167,187

Group Art Unit: 2161

4

For: METHOD AND APPRATUS FOR

SAFEGUARDING FILES

Mail Stop 16
Director of the U.S. Patent and
Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

Confirmation No.:

Customer No.:

24041

6340

Certificate of Mailing by Express Mail

I certify that this Request for Refund facsimile transmitted on April 5, 2006 to facsimile no. 571-273-6500 and is addressed to: Mail Stop 16, Attn: Director of the U.S. Patent and Trademark Office, PO Box 1450, Alexandris, VA 22313-1450.

C. Paul Maliszewski Reg. No.51,990

REQUEST FOR REFUND

Honorable Sir:

This is a Request For Refund of the \$165.00 additional Extension of Time Fee (the difference between a one month extension and a second month extension –small entity) which was listed on our January 2006 Statement for Deposit Account No. 500822, in connection with the above-referenced U.S. Patent Application. More specifically, for the entry dated January 31, 2006, Sequence No. 1 for Posting Ref: 10167187 (Our Attorney Docket No: IPCP:108_US_).

A Request for Reconsideration After Final was timely filed within Two Months (mailed on October 13, 2005) from the date of the final Office Action which action was dated August 19, 2005. Therefore, the time period as set forth in the subsequent Advisory Action Before The Filing of an Appeal Brief with a mailing date of "12/20/05," according to MPEP 706.07(f)(C)(3) "for reply expires 3months from the date of the final rejection or as of the mailing date of the advisory action, whichever is later", since box 1.b) was checked by the Examiner in the Advisory Action on form PTOL-303. Since the later date would be as of the mailing date of the Advisory Action; applicant has requested and paid for a ONE month extension of time from 12/20/05 (the date of the Advisory Action), until January 20, 2006. The One-Month Extension of Time fee has

U.S. Patent Application No. 10/167,187 Page 2 Request for Refund April 5, 2006

already been paid along with the Combined Notice of Appeal from The Primary Examiner to the Board of Patent Appeals and Interferences & Petition for Extension of Time Under 37 C.F.R. 1.136(a) (Small Entity), which was filed on January 19, 2006; (within one month from the Advisory Action date of December 20, 2005. Therefore, a Two-Month Extension is NOT due and the Deposit Account of Attorney for Applicant should not have been charged.

Please review your records and proceed to credit Deposit Account No. 500822, in the amount of \$165.00 to correct this error.

Respectfully submitted,

C. Paul Maliszewski
Registration No. 51,990
CUSTOMER NO. 24041
Simpson & Simpson, PLLC
5555 Main Street
Williamsville, NY 14221-5406

Williamsville, NY 14221-5406 Telephone No.: 716-626-1564

Dated: April 5, 2006 CPM/ELS

SIMPSON & SIMPSON, PLLC

,555 MAIN STREET WILLIAMSVILLE, NY 14221 USA TELEPHONE (716) 626-1564 FACSMILE (716) 626-0386 WWW@DEALAWYERS.COM

FACSIMILE COVER SHEET

To:

Office of Finance, Mail Stop 16

Director of the US Patent and Trademark Office

Fax #:

571-273-6500

From:

C. Paul Maliszewski

Date:

April 05, 2006

Attorney

Docket No.: IPCP:108-US

Your Ref:

U.S. Patent Application No. 10/167,187

Pages:

3 (including cover sheet)

Message:

I certify that this Request for Refund is being facsimile transmitted to the United States Patent and Trademark Office in accordance with 37 CFR §1.8 to Facsimile Number 571-273-6500 on April,4, 2006.

C. Paul Maliszewski Reg. No. 51,990

cc: Elaine L. Schwartz

IMPORTANT NOTICE

The accompanying message is intended only for the use of the individual or entity to which it is addressed and may represent an attorney-client communication or otherwise contain information that is privileged, confidential and exempt from disclosure under applicable law. If you are not the intended recipient, any duplication or distribution of this communication is unauthorized and prohibited. If you have received this communication in error, please notify us immediately by telephone and return the entire communication to us at the above address by mail at our expense.

If any part of this first transmission is missing or not clearly received, please call and ask for ELAINE at (716) 626-

1564.

SIMPSON & SIMPSON, PLLC

,555 Mam Street Williamsville, NY 14221 USA Telephone (716) 626-1564 Facsmille (716) 626-0386 www@idealawyers.com

FACSIMILE COVER SHEET

To:

Office of Finance, Mail Stop 16

Director of the US Patent and Trademark Office

Fax #:

571-273-6500

From:

C. Paul Maliszewski

Date:

April 05, 2006

Attorney

Docket No.: IPCP:108-US

Your Ref:

U.S. Patent Application No. 10/167,187

Pages:

3 (including cover sheet)

Message:

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cc: Elaine L. Schwartz

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1564.

United States Patent and Trademark Office - Sales Receipt -

01/31/2006 DFORTE 00000001 500822

10167187

01 FC:2252

165.00 DA

Adjustment date: 04/17/2006 SDIRETA1 01/31/2006 DFORTE 00000001 500822 10167187 01 FC:2252 165.00 CR

RECEIVED
CENTRAL FAX CENTER

MAR 2 8 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 11/374,815

Docket No. 0185-RF-US

Inventors: Hunt et al.

Filed: 14 March 2006

FOR: TUNABLE DIELECTRIC RADIO FREQUENCY MICROELECTROMECHANICAL SYSTEM CAPACITIVE SWITCH

Refund Request for Overcharge

Filing fees of \$1000 (large entity) were charged form the above-identified application. Filing fees of \$500 (small entity should have been charged.

- Request of a refund of \$500 to deposit account 501231 is hereby requested.
- Furthermore, the overcharge has resulted in the balance of this deposit account falling below \$1000. Presumably, a low balance charge of \$25 will be made on 31 March 2006. If such a charge is made, a refund of the \$25 is requested.

Enclosed are:

- A copy of the Utility Patent Application Transmittal claiming Small Entity status in Box 2.
- A copy of the fee transmittal indicating that a charge of \$500 is authorized.
- A USPTO Deposit Account (501231) print-out of 28 March 2006.

Two duplicates of this sheet are enclosed.

Wayne E. Nacker Reg no. 29,571

nGimat

5315 Peachtree Industrial Boulevard

Atlanta, GA 30341-2107

678 860 1254

wnacker@ngimat.com

Sent by fax 28 March 2006, 571 273 8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 11/374,815

nGimat

Docket No. 0185-RF-US

Inventors: Hunt et al.

Filed: 14 March 2006

FOR: TUNABLE DIELECTRIC RADIO FREQUENCY MICROELECTROMECHANICAL SYSTEM CAPACITIVE SWITCH

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Wayne E. Nacker Reg no. 29,571

пGimat

5315 Peachtree Industrial Boulevard

Atlanta, GA 30341-2107

678 860 1254

wnacker@ngimat.com

Sent by fax 28 March 2006, 571 273 8300

Under the	e Paperwork Reduction Act of 1995, no persons s	<u>required to</u>	U.S. Patent and Trade	mark Office. U.S	PTC/SB/05 (04-05) ough 07/31/2006, OMB 0551-0032 DEPARTMENT OF COMMERCE iplays a yalid OMB control number.		
	UTILITY		Attorney Docket No.	0185-RF-US			
	PATENT APPLICATION		First Inventor	Andrew Tye I	-tunt		
	TRANSMITTAL		Title	TUNABLE DI	ELECTRIC RADIO FREQUENC		
Onlyno	r new nonprovisional applications under 37 CFR	1.53(b))	Express Mail Label No.	ED 89608418	12 US		
See MPE	APPLICATION ELEMENTS P chapter 600 concerning utility patent application	contents.	ADDRESS TO:	P.O. Box 14	ner for Patents 50 /A 22313-1450		
1. 7 Fee 1	Fransmittal Form (e.g., PTO/SB/17)		ACCOMPANY	ACCOMPANYING APPLICATION PARTS			
2. 🗘 App(i	nt on original and a duplicate for fee processing) icant claims small entity status. 37 CFR 1.27.		9. Assignment Pa	pers (cover st	reet & document(s))		
3. ✓ Speci	ification [Total Pages 12] the claims and abstract must start on a new page formation on the preferred arrangement, see MPEP 608.0	11	Name of Assig				
4. Oraw	formation on the preferred arrangement, see MPEP 608.0 ring(s) (35 U.S.C. 113) [Total Sheets)(a)) 61		!	<u> </u>		
	ewly executed (original or copy)		10. 37 CFR 3.73(b) (when there is		Power of Attorney		
	copy from a prior application (37 CFR 1.63) or continuation/divisional with Box 18 compl DELETION OF INVENTOR(S)		11. English Transla	11. English Translation Document (if applicable)			
, ,	Signed studement attached detating inventor(e) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		12. 🖈 Information Disclosure Statement (PTO/S8/08 or PTO-1449)				
= ''	ication Data Sheet. See 37 CFR 1.76	13. Prefiminary Am	13. Preliminary Amendiment				
Çom	tOff or CD-R in dupilicate, targe table or puter Program (Appendix) Landscape Table on CD		14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
	le and/or Amino Acid Sequence Submiss blo, items a. – c. are required)	don	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
	Computer Readable Form (CRF) Specification Sequence Listing on:		16. Nonpublication	16. Nonpublication Request under 35 U.S.C. 122(b)(7)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.			
i. [CD-ROM or CD-R (2 coples); or Paper		17. Other:	•	·		
_ c 🗆	Statements verifying identity of above cop	es					
specification fo	INUING APPLICATION, check appropriate blowing the title, or in an Application Data S	box, and su heet under 3	pply the requisite information : 37 CFR 1.76:	below and in t	he first sentence of the		
Conti	nuetion Divisional	Continu	ation-in-part (CIP) of prior	rapplication No.;	60/663,606		
Prior epplication			An Unit				
	19. CO	RRESPON	IDENCE ADDRESS	- :			
	The address associated with Customer Number: 24,948 OR Correspondence address below						
Name Wayne E. Nacker c.o. nGimat Co.							
Addross	ddross 5315 Peachtree Industrial Boulevard						
City	Atlanta State GA Zip Code 30341						
Signature			678 287 3909 Date	Email	wnacker@ngimat.com		
Name	Wayne E. Nacker		Date	14 March 20 Registration	No. 20 571		
(Print/Type)	Trayina C. Hadwar			.(Attorney/Ac	rent) 29,671		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Potent and Tracturant Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the farm, cell 1-800-PTO-9199 and select option 2.

MAR 2 8 2006

Under the Pap	erwork Reduction	on Act of 199	95 nó persons are requ	ired to n	U.S. Pater	A erf bns m ermi to no	pproved for use throug demark Office; U.S. DE	h 07/31/2006, OMB 0651-0032 PARTMENT OF COMMERCE		
4		U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE expond to a collection of information unless it displays a valid DMB control number Complete If Known								
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Number Filed Herewith			***			
FEE TRANSMITTAL			Filing Date		Filed Herewith	·				
For FY 2006					First Named (n	ventor	Andrew Tye Hunt			
Applicant	1				Examiner Nam	e	· moch tyo than			
			s. See 37 CFR 1.27		Art Unit					
TOTAL AMOL	JRT OF PAYE	AENT (\$)		Attorney Docke	t No.	0185-			
METHOD O	F PAYMENT	(check a	If that apply)			***************************************				
Check [Credit C	ard	Money Order	Non	e Other (please ide	ntify):			
			t Number: <u>501231</u>		Deposit A	occunt Na	me: nGlmat Co.			
For the	above-identifi	od deposit	account, the Director	r is hen	eby authorized to	: (check	all that apply)			
√ (charge fee(s) i	indicated b	elow		Charo	e fee(s) i	indicated below eve	cept for the filing fee		
	harge any ad	ditional fee	(s) or underpayment	s of fee	<u>[</u> 27		rpayments	ept for the mind tea		
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			below are due up	on fil	ing or may be	subject	to a surcharge)			
1. BASIC FIL	ING, SEAR	CH, AND	EXAMINATION FI	EES	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		. co z sarcita gc.,			
ł		FILING	FEES 9		CH FEES	EXAM	NATION FEES	\$500		
Application	Type	Foc (\$) 2	imail Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	F90 (Small Entity D Fee (\$)	Fees Paid (\$)		
Utility		300	150	500	250	200	100	500		
Design		200	100	100	50	130	65			
Plant		200	100	300	150	160	80			
Reissue		300	150	500	250	600	300			
Provisional		200	100	0	0	0	0			
2. EXCESS C Fee Descript	LAIM FEES	i						Small Entity		
Each claim	over 20 (inc	duding Re	tissues)				Eee (\$) 50	Fee (\$) 25		
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HP = highest ou	Imber of total cit		, if greater than 20.				Fee (\$)	Fee Pald (\$)		
Indep. Claims	<u>E</u> 3 or HP =	xtra Claim	2 <u>Fee (\$)</u>	Fee P	'ald (\$)		 ;			
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3. APPLICATION If the specific	ON SIZE FE	E	cced 100 sheets o	£	m (a.u. ali . d' 1					
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Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)										
Other (e.g., late filing surcharge):										
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Jame (Driet/Time) W										
(r rest s) bell	TTO JUBB C. NZC	CRUT,					Date 14 Ma	urch 2006		

This collection of Information is required by 37 CFR 1.136. The information is required to obtain or rotain a benofit by the putric which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Tims will vary depending upon the individual case. Any commenta on the amount of time you require to complete this form and/or suggestions for reducing this bursten, should be sent to the Chief Information Officer, U.S. Patent and Tradicmark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.

Page 1 of 1



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Deposit Account Statement

Requested Statement Month:

March 2006

Deposit Account Number:

501231

Name:

NGIMAT CO.

Attention:

ALFRED H. MURATORI

Address:

5315 PEACHTREE INDUSTRIAL BOULEVARD

City:

CHAMBLEE

State:

GA

Zip:

30341-2107

Country:

UNITED STATES OF AMERICA

DATE SEC	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/16 108	11374815 11374815 START	0185-RF-US 0185-RF-US 0185-RF-US	1111	-\$300.00 \$100.00 -\$500.00 \$20.00 \$300.00 \$500.00 \$200.00	\$1,265.00 \$1,165.00 \$1,665.00 \$1,645.00 \$1,345.00 \$845.00 \$645.00
			REPLENISH \$800.00	BALANCE \$645.00	

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1

NO USPTO Arto reply received

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 11/374,815

Docket No. 0185-RF-US

Inventors: Hunt et al.

Filed: 14 March 2006

FOR: TUNABLE DIELECTRIC RADIO FREQUENCY MICROELECTROMECHANICAL SYSTEM CAPACITIVE SWITCH

Refund Request for Overcharge

Filing fees of \$1000 (large entity) were charged form the above-identified application. Filing fees of \$500 (small entity should have been charged.

- Request of a refund of \$500 to deposit account 501231 is hereby requested.
- Furthermore, the overcharge has resulted in the balance of this deposit account falling below \$1000. Presumably, a low balance charge of \$25 will be made on 31 March 2006. If such a charge is made, a refund of the \$25 is requested.

Enclosed are:

- A copy of the Utility Patent Application Transmittal claiming Small Entity status in Box 2.
- A copy of the fee transmittal indicating that a charge of \$500 is authorized.
- A USPTO Deposit Account (501231) print-out of 28 March 2006.

Two duplicates of this sheet are enclosed.

Wayne E. Nacker Reg no. 29,571

PAGE 1/7 * RCVD AT 3/28/2006 1:06:31 PM (Eastern Standard Time) * 8VR:USPTO-EFXRF-8/40 * DNIS:2738300 * CSID:6782873999 * DURATION (mm-ss):03-02

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

03/16/2006 SSITHIB1 00000050 501231 11374815

01 FC:1011 300.00 BA 02 FC:1111 500.00 BA 03 FC:1311 200.00 DA

Adjustment date: 04/17/2006 SDIRETA1 03716/2006 SSITHIB1 00000050 501231 11374815 01 FC:1011 300.00 CR 02 FC:1111 500.00 CR 03 FC:1311 200.00 CR

04/17/2006 SDIRETA1 00000010 501231 11374815

01 FC:2011 150.00 DA 02 FC:2111 250.00 DA 03 FC:2311 100.00 DA

> PTO-1556 (5/87)

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